

COPY OF PAPERS
ORIGINALLY FILED

PATENT
Docket No. 199372003600
Client Ref. 133055US

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on May 24, 2002.

Cheryl Y. Price
Cheryl Y. Price



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

TOSHIMA

Serial No. 10/036,787

Filing Date: October 19, 2001

For: METHOD AND APPARATUS OF
PROCESSING SURFACE OF
SUBSTRATE

Examiner: Not Assigned

Group Art Unit: Not Assigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under
37 C.F.R. § 1.53(f) dated February 27, 2002, attached please find:

- ☒ Petition for Extension of Time
- ☒ Submission of Certified Copy of Priority Document
- ☒ Submission of Formal Drawings (13 sheets)
- ☒ A verified English translation of the application, and the \$130.00 fee as set forth

07/17/2002 MTEKLEMI 00000011 031952 3703E78 § 1.17(k).

01 FC:139

130.00 CH

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952, referencing docket no. 199372003600. A duplicate copy of Form PTO/SB/17 is attached.

Respectfully submitted,

MORRISON & FOERSTER, L.L.P.

Date: May 24, 2002

By: David L. Fehrman
David L. Fehrman
Registration No. 28,600
Attorney for Applicant(s)

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Los Angeles, California 90071
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205099 4869502

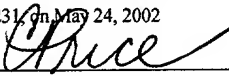
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#4

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For: METHOD AND APPARATUS OF
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SUBSTRATE

Examiner: Not Assigned

Group Art Unit: Not Assigned

SUBMISSION OF FORMAL DRAWINGS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231


Dear Sir:

Enclosed are 13 sheets of formal drawings in connection with the above-identified application.

Respectfully submitted,

Dated: May 24, 2002

By:


David L. Fehrman
Registration No. 28,600

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555 West Fifth Street
Suite 3500
Los Angeles, California 90013-1024
Telephone: (213) 892-5601
Facsimile: (213) 892-5454

D E C L A R A T I O N



In the matter of U.S. Patent
Application Ser. No. 10/036,787
in the name of Takayuki Toshima
et al.

I, Shigeru TAKEBAYASHI, of Kyowa Patent and Law Office,
2-3, Marunouchi 3-Chome, Chiyoda-Ku, Tokyo-To, Japan,
declare and say:

that I am thoroughly conversant with both the Japanese
and English languages; and,

that the attached document represents a true English
translation of United States Patent Application Serial No.
10/036,787 filed on October 19, 2001.

I further declare that all statements made herein of
my own knowledge are true and that all statements made on
information and belief are believed to be true; and further
that these statements were made with the knowledge that
willful false statements and the like so made are punishable
by fine or imprisonment, or both, under Section 1001 of Title
18 of the United States Code, and that such willful false
statements may jeopardize the validity of the application
or any patent issued thereon.

Dated: May 20, 2002

A handwritten signature in cursive script, appearing to read "Shigeru Takebayashi".

Shigeru TAKEBAYASHI



UNITED STATES PATENT AND TRADEMARK OFFICE

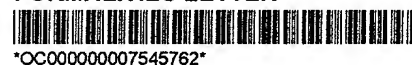
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,787	10/19/2001	Takayuki Toshima	199372003600

David L. Fehrman
Morrison & Foerster LLP
35th Floor
555 W. 5th Street
Los Angeles, CA 90013-1024

CONFIRMATION NO. 5431

FORMALITIES LETTER



OC000000007545762

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/07/2002 SMINP851 00000044 031552 10036787

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. ~~Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).~~

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice **MUST** be returned with the reply.

A. Sauer
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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